



Department of
Job and Family Services

Mike DeWine, Governor

Kimberly Hall, Director

November 24, 2020

Stacy Sheffield
WDB Director, WIOA Area 12
496 Justice Drive, Suite 301
Lebanon, OH 45036

RE: Trade and Economic Transition Dislocated Worker Grant (ET DWG) Fiscal Monitoring Review Final Summary Report

Dear Director Sheffield:

The ODJFS Office of Fiscal and Monitoring Services (OFMS) completed a Federal Grants Management Monitoring Review of the Trade and Economic Transition Dislocated Worker Grant-Opioid 2 (ET DWG) for Butler County, which is part of Local Area 12.

The purpose of the review was to provide an objective assessment in terms of compliance with the Trade and Economic Transition Dislocated Worker Grant.

In an effort to further provide you service, we are providing the results of our review and communications with this recap. Included in this recap is a table summarizing the areas of our monitoring, whether or not this area was evaluated in our review and if there were any significant observations.

This will conclude our monitoring of your agency. We wish to thank you and your staff for your assistance in the performance of this review. We hope you found the process useful in improving the risk management, internal controls, and governance of your operations.

Sincerely,

Robert J. Cintala, Bureau Chief
Bureau of Monitoring and Consulting Services

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**Local Area 12
Southwest Region: Butler County
ET DWG - Opioid 2
Final Summary of Fiscal Monitoring Review
Program Year 2019 and 2020**

The ODJFS monitoring review process utilizes a risk based approach for determining the areas to be monitored. A variety of factors are used in this process, including Program Risk, Entity Risk, Control Risk and Common monitoring Observations. Below is a chart showing each section subject to our monitoring review and the applicability to your agency's current review, along with summary of any significant observations related to those sections.

Program Name	Subject to Review	Significant Observations	ODJFS Area Responsible for Assistance
Governance	Yes	No	None
Budget Management	No	N/A	None
Financial Reporting	Yes	No	None
Cash Management	No	N/A	None
Cost Allocation	No	N/A	None
Cost Principles	Yes	No	None
Procurement	No	N/A	None
Contracts	No	N/A	None
Subrecipient Monitoring	No	N/A	None
Property Management	No	N/A	None

Significant Observations (See associated Continuous Improvement Plans attached)

No significant observations were noted during this review.